



November 24, 2003

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**VIA FACSIMILE**  
Document Control No.: 5HXUQQ  
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PATENT TRADEMARK OFFICE

Re: U.S. Patent Application Serial No.: 09/874,450  
Filed: June 5, 2001  
Confirmation No.: 6282  
Entitled: METHOD OF CONTROLLING MULTI-USER ACCESS  
TO THE FUNCTIONALITY OF CONSUMER DEVICES  
Inventor: David E. Allport  
Our File No.: ER 1604.02 US

Dear Sir:

Enclosed for filing in the above-referenced application are the following documents:

1. Transmittal;
2. Fee Transmittal;
3. Petition to the Commissioner;
4. Communication - Request for Change of Attorney Docket Number;
5. Cover Letter with Document Control No. 5HXUQQ;
6. Certificate of Facsimile Transmittal dated November 24, 2003.

Please acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

A handwritten signature in dark ink, appearing to read 'Micah P. Goldsmith', is written over the printed name.

Micah P. Goldsmith, Reg. No. 43,638  
Patent Prosecution Attorney  
INTELLECTUAL PROPERTY DEVELOPMENT

MG:lm

Enclosures

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<b>CERTIFICATE OF FACSIMILE TRANSMISSION</b> I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (Fax No. _____)	
(703) 872 - 9306	on November 24, 2003
Lucy F. Medina (Type or print name)	 (Signature)

PTO/SB/21 (08-03)

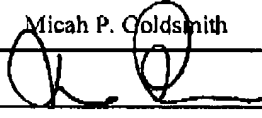
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/874,450
	Filing Date	June 5, 2001
	First Named Inventor	David E. Allport
	Art Unit	2673
	Examiner Name	Nguyen, Jimmy H.
Total Number of Pages in This Submission		Attorney Docket Number ER 1604.02 US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Cover Letter Request for Change of Attorney Docket Number
Remarks _____		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Micah P. Goldsmith
Signature	
Date	November 24, 2003

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Lucy F. Medina		
Signature		Date	November 24, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR §1.27**TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known**

Application Number	09/874,450
Filing Date	June 5, 2001
First Named Inventor	David E. Allport
Examiner Name	Nguyen, Jimmy H.
An Unit	2673
Attorney Docket No.	ER 1604.02 US

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:
 Deposit Account Number **04-1175**  
 Deposit Account Name **DISCOVISION ASSOCIATES**

The Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUB TOTAL (1)** (\$ 0)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from Below	Fee Paid
-20** =			
Independent Claims	-3** =		
Multiple Dependent			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1202	18	2202	9	Claim in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$ 0)

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt.	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 130.00)**SUBMITTED BY**Name  
Printed/Typed

Micah P. Goldsmith

Registration No.  
Attorney / Agent

43,638

**Complete (if applicable)**

Telephone

949-660-5001

Signature

Date

November 24, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1456, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**FACSIMILE COMMUNICATIONS**

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2355 Main Street, Suite 200, Irvine, CA 92614

TELEPHONE: (949) 660-5000

FAX (949) 660-1801

To: Mail Stop PETITION  
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Alexandria, VA 22313-1450

Date: November 24, 2003

Number of Pages: Cover + 12

**OFFICIAL**

ATTN: Examiner NGUYEN, Jimmy H.

TELEPHONE NO. (703) 306-5422

FAX NO. (703) 872-9306

From: Micah P. Goldsmith, Esq.

PLEASE CONTACT US IF ANY PAGES  
ARE ILLEGIBLE OR ARE NOT RECEIVED

RE: U.S. Application No: 09/874,450

Filed: June 5, 2001

Title: METHOD OF CONTROLLING  
MULTI-USER ACCESS TO THE  
FUNCTIONALITY OF CONSUMER  
DEVICES

Inventor: David E. Allport

Our File No.: ER 1604.02 US

Confirmation No.: 6282

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**ATTN: EXAMINER JIMMY H. NGUYEN, ART UNIT 2673**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David E. Allport

Application No.: 09/874,450

Filed: June 5, 2001

For: METHOD OF CONTROLLING  
MULTI-USER ACCESS TO THE  
FUNCTIONALITY OF CONSUMER  
DEVICES

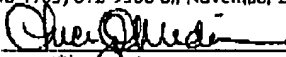
Examiner: NGUYEN, Jimmy H.

Art Unit: 2673

Confirmation No.: 6282

Attorney Docket No.: ER 1604.02 US

## CERTIFICATE OF FACSIMILE TRANSMISSION

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Patent and Trademark Office Fax No. (703) 872-9306 on November 21, 2003:Lucy E. Medina  
(Type or print name)  
(Signature)COMMUNICATION  
REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBERCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests that the Attorney Docket Number for this application be  
changed from "239/008-C1" to - ER 1604.02 US --.

Respectfully submitted,

DISCOVISION ASSOCIATES

Micah P. Goldsmith  
Reg. No. 43, 638

Date: November 24, 2003

DISCOVISION ASSOCIATES  
INTELLECTUAL PROPERTY DEVELOPMENT  
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(949) 660-5001

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